

Lecture 18 - March 20

Merge Sort, Quick Sort, BST

MergeSort: Recurrence Relation
QuickSort: Ideas, Java, RT

Announcements/Reminders

- **ProgTest2** info & example questions released
- **Assignment 3** (on linked Trees) solution released
- **WrittenTest** and **ProgTest1** results & feedback released
- **Makeup Lecture** (on **Queues**) posted
- Lecture notes template, Office Hours, TA Contact

Merge Sort: Tracing

→ split
→ merge

Cost of merge at each level:

$O(n)$

$O(n)$

$O(n)$

of levels of splits (to reach singleton list)

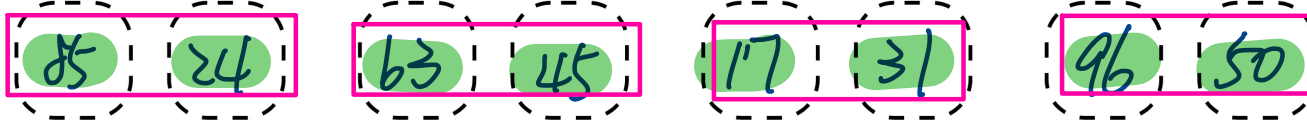
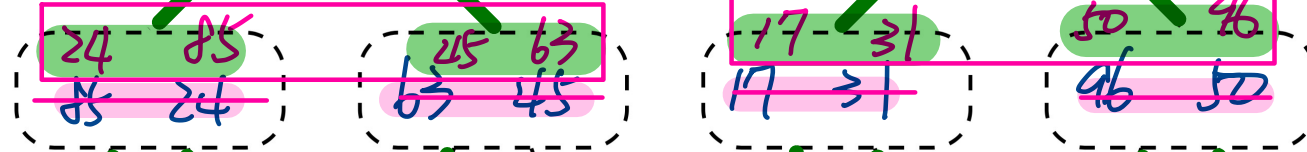
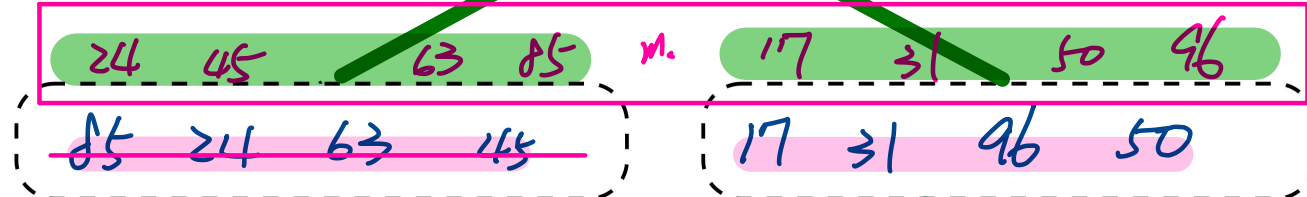
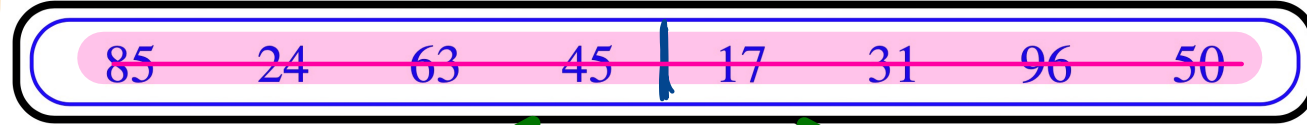
4, 4

log n

RT: $O(n \cdot \log n)$

2, 2, 2, 2

1, 1, 1



Merge Sort: Running Time

```
public List<Integer> sort(List<Integer> list) {
    List<Integer> sortedList;
    if(list.size() == 0) { sortedList = new ArrayList<>(); }
    else if(list.size() == 1) {
        sortedList = new ArrayList<>();
        sortedList.add(list.get(0));
    }
    else {
        int middle = list.size() / 2;
        List<Integer> left = list.subList(0, middle);
        List<Integer> right = list.subList(middle, list.size());
        List<Integer> sortedLeft = sort(left);
        List<Integer> sortedRight = sort(right);
        sortedList = merge(sortedLeft, sortedRight);
    }
    return sortedList;
}
```

$T(0) = 1$

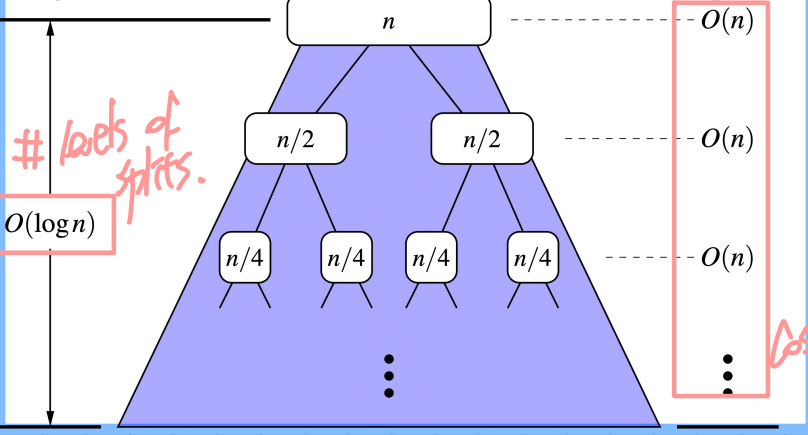
$T(1) = 1$

$O(n)$

Assump: $T(\frac{n}{2})$
Assump: $T(\frac{n}{2})$
 $O(n)$

Height

Time per level



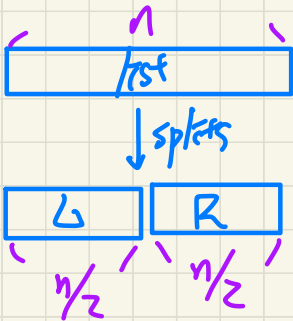
Running Time as a Recurrence Relation

$T(0) = 1$

$T(1) = 1$

$T(n) = 2 \cdot T(\frac{n}{2}) + n$

Cost of merge at each level



Running Time: Unfolding Recurrence Relation $\frac{n}{4}$

$$T(0) = 1$$

$$T(1) = 1$$

$$T(n) = 2 \cdot T(n/2) + n$$

$$\text{Ham-up: } T\left(\frac{n}{2}\right) = 2 \cdot T\left(\frac{n/2}{2}\right) + \frac{n}{2}$$

$$T(n) = 2 \cdot T\left(\frac{n}{2}\right) + n$$

$$= 2 \cdot \left(2 \cdot T\left(\frac{n}{4}\right) + \frac{n}{2} \right) + n \quad \left[4 \cdot T\left(\frac{n}{4}\right) + 2n \right]$$

$$= 2 \cdot \left(2 \cdot \left(2 \cdot T\left(\frac{n}{8}\right) + \frac{n}{4} \right) + \frac{n}{2} \right) + n \quad \left[8 \cdot T\left(\frac{n}{8}\right) + 3n \right]$$

$$\vdots$$
$$= 2^{?} \cdot T(1) + ? \cdot n = 2^{\log n} \cdot I + \log n \cdot n =$$

$$I = \frac{n}{n} = 1$$

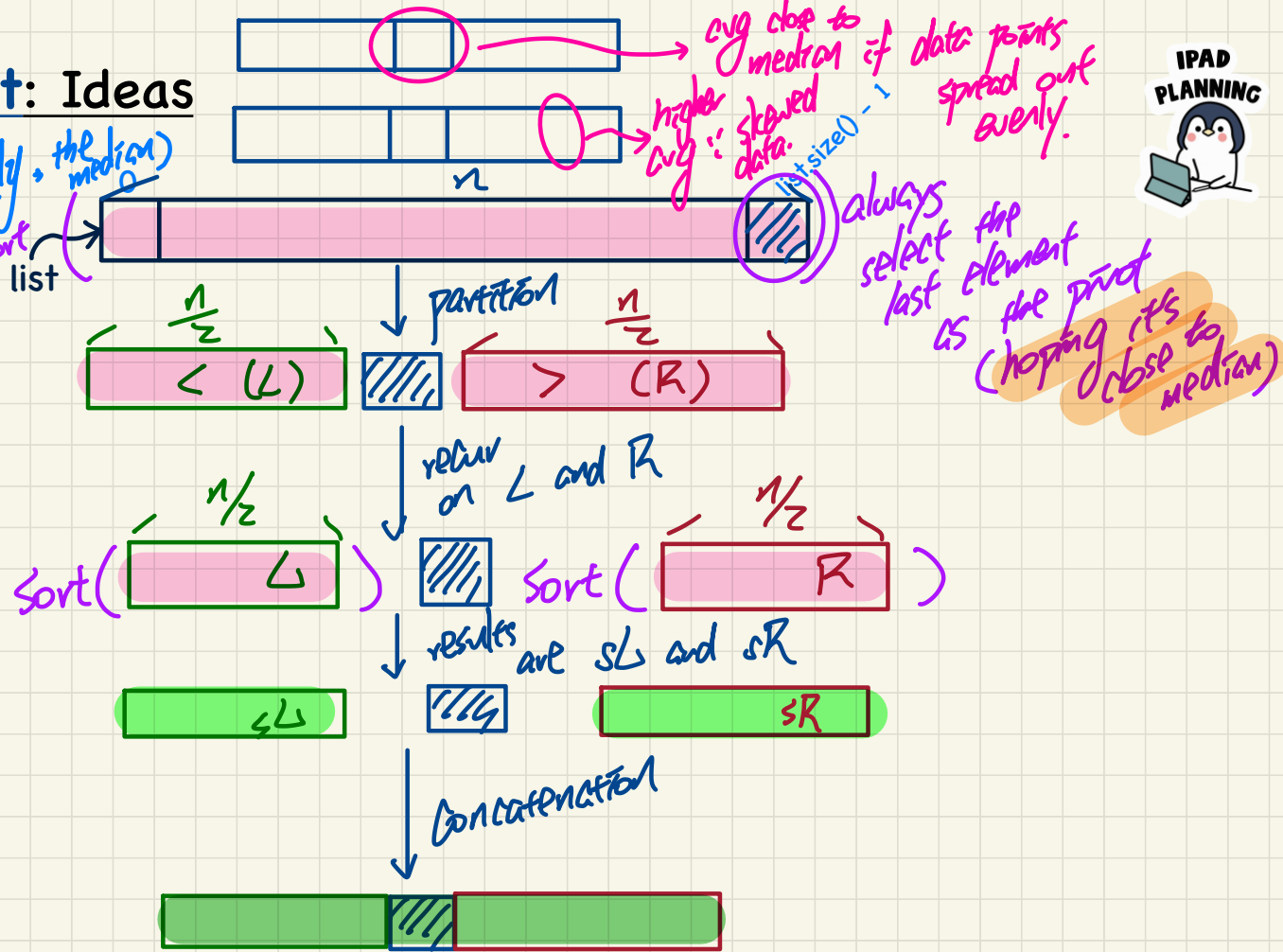
$$O(n \cdot \log n)$$
$$n + \log n \cdot n$$



Quick Sort: Ideas



- pivot selection & (ideally, the median)
- partition
- concatenation
- median of medians algorithm $\rightarrow$ find median in $O(n)$



Is merging sL and sR necessary? No!

Quick Sort in Java

Median of medians algo: $O(n)$

↳ as opposed
sort and
select
middle

```
public List<Integer> sort(List<Integer> list) {
    List<Integer> sortedList;
    if(list.size() == 0) { sortedList = new ArrayList<>(); }
    else if(list.size() == 1) {
        sortedList = new ArrayList<>(); sortedList.add(list.get(0)); }
    else {
        int pivotIndex = list.size() - 1;
        int pivotValue = list.get(pivotIndex);
        List<Integer> left = allLessThanOrEqualTo(pivotIndex, list);
        List<Integer> right = allLargerThan(pivotIndex, list);
        List<Integer> sortedLeft = sort(left);
        List<Integer> sortedRight = sort(right);
        sortedList = new ArrayList<>();
        sortedList.addAll(sortedLeft);
        sortedList.add(pivotValue);
        sortedList.addAll(sortedRight);
    }
    return sortedList;
}
```

$O(1)$

Comp. $O(1)$

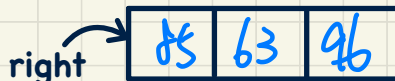
→ only if
pivot $\approx$ median

linear scan
of the input list
is necessary: $O(n)$

partition

```
List<Integer> allLessThanOrEqualTo(int pivotIndex, List<Integer> list) {
    List<Integer> sublist = new ArrayList<>();
    int pivotValue = list.get(pivotIndex);
    for(int i = 0; i < list.size(); i++) {
        int v = list.get(i);
        if(i != pivotIndex && v <= pivotValue) { sublist.add(v); }
    }
    return sublist;
}

List<Integer> allLargerThan(int pivotIndex, List<Integer> list) {
    List<Integer> sublist = new ArrayList<>();
    int pivotValue = list.get(pivotIndex);
    for(int i = 0; i < list.size(); i++) {
        int v = list.get(i);
        if(i != pivotIndex && v > pivotValue) { sublist.add(v); }
    }
    return sublist;
}
```

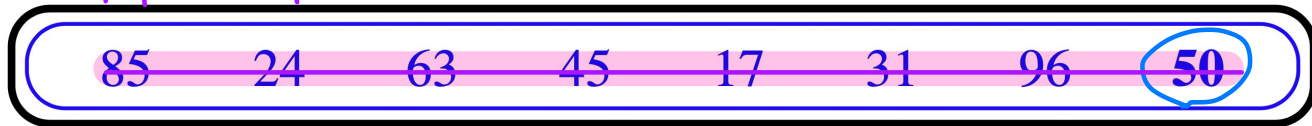


left
and
right
are
sorted!

Quick Sort: Tracing

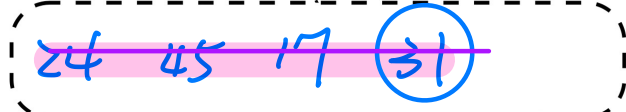
→ split partition
→ concatenate

17 24 31 45 50 63 85 96



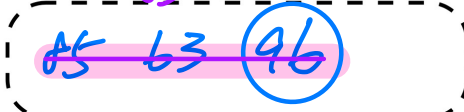
pivot

<50 17 24 31 45

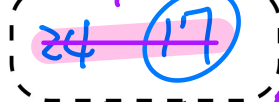


50

63 85 96 >50



<31 17 24

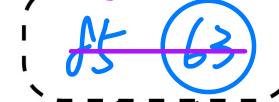


31

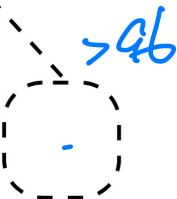


>31

<96 63 85



96



>96

<17



>17



<63

63

>63



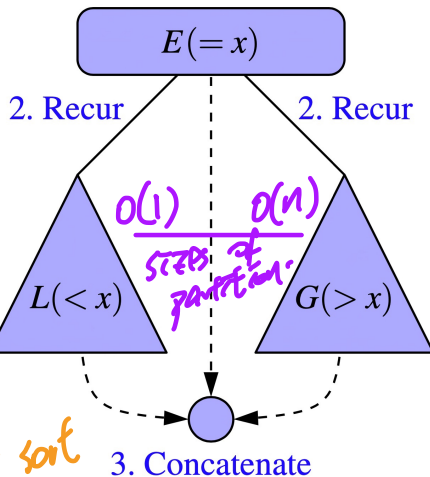
Quick Sort: Worst-Case Running Time

```
public List<Integer> sort(List<Integer> list) {
    List<Integer> sortedList;
    if(list.size() == 0) { sortedList = new ArrayList<>(); }
    else if(list.size() == 1) {
        sortedList = new ArrayList<>(); sortedList.add(list.get(0));
    }
    else {
        int pivotIndex = list.size() - 1;
        int pivotValue = list.get(pivotIndex);
        List<Integer> left = allLessThanOrEqualTo(pivotIndex, list);
        List<Integer> right = allLargerThan(pivotIndex, list);
        List<Integer> sortedLeft = sort(left);
        List<Integer> sortedRight = sort(right);
        sortedList = new ArrayList<>();
        sortedList.addAll(sortedLeft);
        sortedList.add(pivotValue);
        sortedList.addAll(sortedRight);
    }
    return sortedList;
}
```

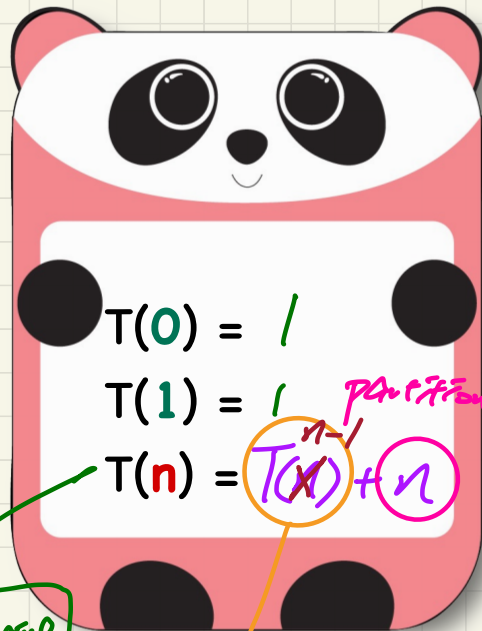
bad pivot >> median
<< median

$|left| < |right|$
→ $T(n)$ $T(1)$

1. Split using pivot x



Running Time as a Recurrence Relation



Exercise!

a half that's much larger than the other

4 partitions
↓
1 9 7 5 3 1
↓
1 9 7 5 3
↓
1 9 7 5
↓
1 9 7
↓
1 9
↓
1 9

overall $R(T)$
 $O(n^2)$ ~ selection/insertion sort

Quick Sort: Best-Case Running Time

```
public List<Integer> sort(List<Integer> list) {
    List<Integer> sortedList;
    if(list.size() == 0) { sortedList = new ArrayList<>(); }
    else if(list.size() == 1) {
        sortedList = new ArrayList<>(); sortedList.add(list.get(0)); }
    else {
        int pivotIndex = list.size() - 1;
        int pivotValue = list.get(pivotIndex);
        List<Integer> left = allLessThanOrEqualTo(pivotIndex, list);
        List<Integer> right = allLargerThan(pivotIndex, list);
        List<Integer> sortedLeft = sort(left);
        List<Integer> sortedRight = sort(right);
        sortedList = new ArrayList<>();
        sortedList.addAll(sortedLeft);
        sortedList.add(pivotValue);
        sortedList.addAll(sortedRight);
    }
    return sortedList;
}
```

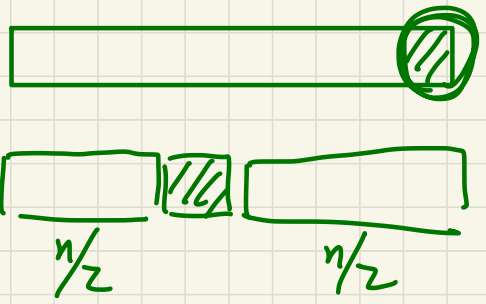
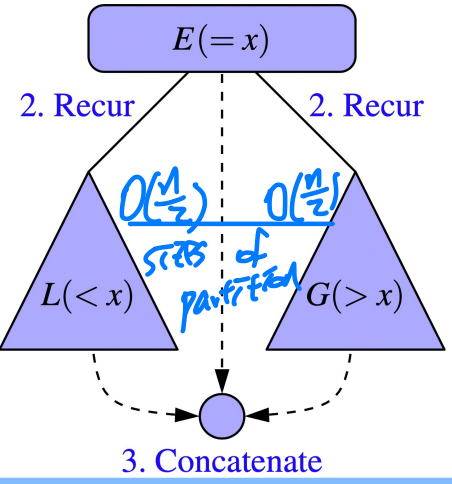
pivot $\approx$ median

$O(n)$

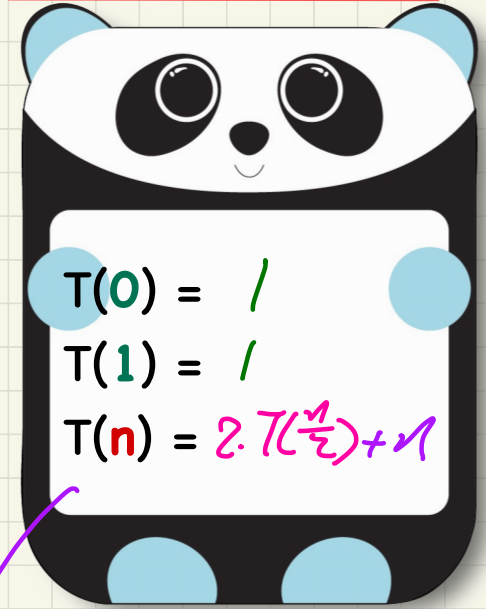
$O(1)$

*$T(\frac{n}{2})$
 $T(\frac{n}{2})$*

1. Split using pivot x



Running Time as a Recurrence Relation



$$T(0) = /$$

$$T(1) = /$$

$$T(n) = 2 \cdot T(\frac{n}{2}) + n$$

(Exercise)